

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/765,411	01/22/2001	Taku Ishizawa	Q62798

CONFIRMATION NO. 9456

## FORMALITIES LETTER



\*OC000000005820316\*

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC  
LAW OFFICES  
2100 PENNSYLVANIA AVENUE, N.W.  
WASHINGTON, DC 20037-3213

Date Mailed: 03/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$556.
  - \$396 for 22 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1396.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/02/2001 HROCHA1 00000038 09765411

01 FC:101  
02 FC:102  
03 FC:103  
04 FC:104

05 FC:105  
06 FC:106  
07 FC:107

710.00 OP  
160.00 OP  
1242.00 OP  
270.00 OP  
130.00 OP  
230.00 OP  
130.00 OP



Section #3

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

re application of

Taku ISHIZAWA, et al.

Appln. No.: 09/765,411

Group Art Unit: 2861

Confirmation No.: 9456

Examiner: NOT YET ASSIGNED

Filed: January 22, 2001

For: INK CARTRIDGE, AND INK-JET RECORDING APPARATUS USING THE SAME

**SUBMISSION OF EXECUTED DECLARATION**

**ATTN: BOX MISSING PARTS**

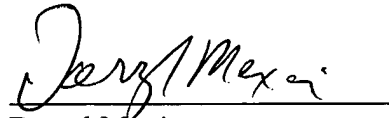
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted", mailed March 2, 2001, for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventors. Also enclosed please find a Submission of Formal Drawings, twenty-nine (29) sheets of drawings, an Information Disclosure Statement and PTO form 1449, a Submission of Priority Documents, six (6) priority documents and an executed Assignment and PTO Form 1595.

Checks for the statutory fee of \$2,512.00\* (Basic Filing Fee of \$710.00, Late Declaration Surcharge of \$130.00, Excess Claim Fee of \$1,242.00, Excess Independent Claim Fee of \$160.00 and Multiple Dependent Claim Fee of \$270.00) and Assignment recordation fee of \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

Respectfully submitted,



Darryl Mexic  
Registration No. 23,063

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860  
DM/slb  
Date: May 1, 2001

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\* Our office has calculated that the total number of Excess Claims is 69, including Multiple Dependent Claims, rather than the number 22 calculated by the USPTO.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Taku ISHIZAWA, et al.

Appln. No.: 09/765,411

Group Art Unit: 2861

Confirmation No.: 9456

Examiner: NOT YET ASSIGNED

Filed: January 22, 2001

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SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith please find twenty-nine (29) sheets of formal drawings. The

Examiner is respectfully requested to acknowledge receipt of these formal drawings.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Darryl Mexic".

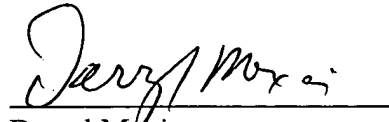
Darryl Mexic  
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Date: May 1, 2001

#5  
7/19/01  
M. Pradip

Checks for the statutory fee of \$2,512.00\* (Basic Filing Fee of \$710.00, Late Declaration Surcharge of \$130.00, Excess Claim Fee of \$1,242.00, Excess Independent Claim Fee of \$160.00 and Multiple Dependent Claim Fee of \$270.00) and Assignment recordation fee of \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

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